

Express Mail No.: EV 673965890 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number:

10/539.562

Filed:

June 17, 2005

Applicants:

Kristopher Buchanan, Marc Key, John Favuzzi, Rosanne Welcher,

Benno Guggenheimer, Robert Clark, Michael

Barber, and Bob

Systems and Methods of Sample Processing and Temperature Control

Title: TC/A.U: 1651

Examiner: Assignee:

Allison M. Ford Dako Denmark A/S

Attorney Docket: Customer No.

P139 US 01 33549

LETTER OF TRANSMITTAL

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed with this correspondence are the following documents:

- 1. Submission of Information Disclosure Statement pursuant to 37 CFR 1.97 (b)(3);
- Information Disclosure Statement pursuant to 37 CFR §1.97(b)(3) and copies of 2. foreign references and other documents cited;
- 3. This Letter of Transmittal; and
- 4. A Certificate of Express Mailing for each document and a return receipt post card.

I have this <u>43</u> day of October, 2006, either myself personally or through my direction of staff at this office, deposited all of the items in the above letter of transmittal with the United States Postal Service as Express Mail, postage prepaid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

Please confirm receipt of the documents by applying your date stamp on the enclosed postcard and returning it to me.

Dated this 29 day of October, 2006.

Respectfully Submitted, SANTANGELO LAW OFFICES, P.C.

By: Mlolia. Renue

Attorney for Assignee USPTO No. 48,665 125 South Howes, Third Floor Fort Collins, Colorado 80521 (970) 224-3100



Express Mail No.: EV 673965890 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number: 10/539,562

Filed: June 17, 2005

Applicants: Kristopher Buchanan, Marc Key, John Favuzzi, Rosanne Welcher, Benno Guggenheimer, Robert Clark, Michael Barber, and Bob

Systems and Methods of Sample Processing and Temperature Control Title:

TC/A.U: 1651

Examiner Allison M. Ford Dako Denmark A/S Assignee: P139 US 01 Attorney Docket:

Customer No. 33549

SUBMISSION OF INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. 1.97(b)(3)

The applicant respectfully requests consideration of the attached Information Disclosure Statement. Pursuant to 37 C.F.R. 1.97(b)(3), no fee is required.

In accordance with 37 C.F.R. \$1.97(b)(3), this Information Disclosure Statement is filed before the mailing date of a first office action on the merits (to the Applicant's best knowledge). As a means of complying with the duty of disclosure set forth in 37 C.F.R. §§1.56, 1.97, and 1.98, the following information may be material to the examination of the referenced application. Pursuant to 37 C.F.R. Section 1.97(g), this Information Disclosure Statement should not be construed as a representation that a search has been made. Additionally, pursuant to 37 C.F.R. §1.97(h) the filing of this Information Disclosure Statement shall not be construed as an admission that the information cited is or is considered to be material to patentability as defined in 37 C.F.R. 1.56(b). A copy of each item listed under Foreign References and Other Documents is enclosed.

1

Dated this 28 day of October, 2006.

Respectfully submitted, SANTANGELO LAW OFFICES, P.C.

By: Miloe a Renue

Nicole A. Ressue Attorney for Assignee USPTO No. 48,665 125 South Howes, Third Floor Fort Collins, Colorado 80521 (970) 224-3100 IN TRACE DED STATES
PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Express Mail No.: EV 673965890 US				
APPLICATION NO:	10/539,562			
FILING DATE:	June 17, 2005			
FIRST NAMED	Kristopher Buchanan			
ART UNIT:	1651			
EXAMINER NAME:	Allison M. Ford			
DOCKET NO:	P120 US 01			

I. US PATENT DOCUMENTS

EXAMINEI INITIAL	DOCUMENT NO. & KIND CODE (if known)	PATENTEE OR APPLICANT	PUBLICATION/ISSUE DATE mm/dd/yyyy	Pages, Columns, Lines Where Relevant Passages Or Relevant Drawings Appear	
/NAB/ 3.219.416		Natelson	11/23/1965		
1	3,398,935	Livesey, et al.	8/27/1968		
	1				
	3,547,064	Binnings, et	4/6/1971		
		al.			
	3,680,967	Englehardt	8/1/1972		
	3,772,154	Isenberg et al.	11/13/1973		
	3,853,092	Amos et al.	12/10/1974		
	3,854,703	Gibbs et al.	12/17/1974		
	3,909,203	Young et al.	9/30/1975		
	3,916,157	Roulette et al.	10/28/1975		
	4,013,038	Rogers et al.	3/22/1977		
	4,066,412	Johnson et al.	1/3/1978		
	4,113,437	Duff et al.	9/12/1978		
	4,133,642	Nosaka et al.	1/9/1979		
	4,159,875	Hauser	7/3/1979		
	4,200,056	Johnson	4/29/1980		
	4,200,607	Suzuki	4/29/1980		
	4,245,967	Busselet	1/20/1981		
	4,298,571	DiFulvio et al.	11/3/1981		
	4,406,547	Aihara	9/27/1983		
	4,447,395	Englar et al.	5/8/1984		
	4,455,280	Shinohara et al.	6/19/1984		
	4,467,603	Wilson	8/28/1984		
	4,567,748	Klass et al.	2/4/1986		
	4,585,622	Bowe et al.	4/29/1986		
	4,643,879	Hanaway	2/17/1987		
	4,647,432	Wakatake	3/3/1987		
	4,664,526	Scheffler et al.	5/12/1987		
	4,675,299	Witty et al.	6/23/1987		
	4,678,894	Shafer	7/7/1987		
	4,681,741	Hanaway	7/21/1987		
/NAB/	4,683,120	Meserol et al.	7/28/1987		

7NAB/	4,692,308	Riley, et al.	9/8/1987		
	4,708,886	Nelson	11/24/1987		
	4,719,087	Hanaway	1/12/4988		
	4,727,033	Hijikata et al.	2/23/1988		
	4,729,661	Bell	3/8/1988		
	4,764,342	Kelln et al.	8/16/1988		
	4,774,055	Wakatake et	9/27/1988		
L I		al.	l	. [
	4,795,613	Azuma et al.	1/3/1989		
	4,795,710	Muszak et al.	1/3/1989		
	4,808,380	Minekane	2/28/1989		
	4,815,978	Mazz et al.	3/28/1989		
	4,824,641	Williams	4/25/1989		1
	4,849,177	Jordan	7/18/1989		
	4,855,110	Marker et al.	8/8/1989		
	4,865,811	Newton et al.	9/12/1989		
	4,900,513	Barker et al.	2/13/1990		
	4,919,887	Wakatake	4/24/1990		
	4,933,147	Hollar et al.	6/12/1990		
	4,943,415	Przybylowicz	7/24/1990		
		et al.			
	4,961,906	Andersen et	10/09/1990		
1		al.			
	4,965,049	Lillig et al.	10/23/1990		
	4,985,206	Bowman et al.	01/15/1991		
				1	
	4,988,482	Weston	1/29/1991		
	5,031,797	Boris et al.	7/16/1991		
	5,051,238	Umetsu et al.	9/24/1991		
	5,059,393	Quenin et al.	10/22/1991		
	5,075,079	Kerr et al.	12/24/1991		
	5,102,624	Muraishi	4/7/1992		
	5,106,583	Raysberg et	4/21/1992		
		al.			
	5,122,342	McCullochh et	6/16/1992		
		al.			
	5,180,606	Stokes et al.	01/19/1993		
	5,250,262	Heidt et al.	10/5/1993		
	5,311,426	Donohue et al.	5/10/1994		
	5,316,452	Bogen et al.	5/31/1994		
	5,316,728	Hayashi et al.	5/31/1994		
	5,350,697	Swope et al.	9/27/1994		
	5,355,695	Kawaguchi et	10/18/1994		
		al.			
	5,365,595	Kanamori et	10/18/1994		
		al.			
	5,369,261	Shamir	11/29/1994		
	5,424,036	Ushikubo	6/13/1995		
*	5,656,493	Mullis et al.	8/12/1997		
/NAB/	6,083,490	Ellis et al.	7/4/2000		

/NAB/	6,248,590	Malachowski	6/19/2001	
1	6,420,916	Freeman	7/16/2002	
	6,498,037	Lewis et al.	12/24/2002	
	6,872,901	Copeland et al.	12/7/2004	
	6,943,029	Copeland et al.	9/13/2005	
	2001/010936	Richards et al.	8/2/2001	
	2002/0091593	Fowler	7/11/2002	
	2002/0116132	Rhett et al.	8/22/2002	
	2003/0099573	Tseung et al.	5/29/2003	
	2005/0038676	Showalter et al.	2/17/2006	
	2005/0159982	Showalter et al.	7/21/2005	
	2006/0045806	Winther et al.	3/2/2006	
	2006/0062365	Welcher et al.	3/23/2006	
	2006/0085140	Feingold et al.	4/20/2006	
	2006/0088940	Feingold et al.	4/27/2006	
	2006/0105359	Favuzzi et al.	5/18/2006	
		Buchanan et	8/3/2006	
	2006/0172426	al.		
	2006/046298 A1	Key et al.	3/2/2006	
	2006/088928	Sweet et al.	4/27/2006	
	RE 28585	Amos et al.	2/3/1975	
/NAB/	RE 30,730	Duff	9/1/1981	

II. FOREIGN PATENT DOCUMENTS

EXAMINER	Foreign Patent	PATENTEE OR	PUB'N DATE mm-	TRANSLATIO
INITIAL	Document Country	APPLICANT NAME	dd-yyyy	Yes No
	Code, Number, Kind			
	Code (if known)			
	EP 0285851 A2	Fuji Photo Film Co.,		1
/NAB/		Ltd.	10/12/1988	1
	EP 0290018 A2	Abbott Laboratories	11/9/1988	
	FR 2239167	Ministere de	7/26/1973	
		L'Agriculture, Service		I \
1		Veterinaire		1 1 /
	GB2216 259	Microvol Limited	3/31/1988	
	JP 54014287 A	Toshiba Corp.	2/2/1979	1
	JP 55107957	Agency of Ind Science	8/19/1980	1 /
		& Technol		\ \ \ \
	WO 03/052386 A1	Lab Vision		-V
		Corporation	6/26/2003	V
	WO 2005/084263	Dako Denmark A/S		N
1	A2		9/15/2005	//
	WO 85/03571	Hulette	8/15/1985	1/1
	WO 87/00086	American Hospital		/ /
1		Supply Corp.	1/15/1987	1 / 1
	WO 88/02866	Serono Diagnostics		11
1		Partners	4/21/1988	
	WO 8802865	Weston	4/21/1988	
	WO 89/01616	Arthur Harris	2/23/1989	
/NAB/	WO 91/13335	Immunodiagnostics,		
		Inc.	9/5/1991	1/

III. OTHER REFERENCES

INITIAL INABJ CHOW et al., "Application of Existing Technology to Meet Increasing Demands for Automated Sample Handling," Clinical Chemistry, 36(9):1579-1582, 1990. DRICSOLL et al., "Discreet Automated Chemistry System with Tableted Reagents," Clinical Chemistry, 29(9): 1609-1615, 1983. GARZA et al., "Bar Codes in the Clinical Laboratory," Clinical Laboratory Science, 4 24, Jan/Feb 1991. INNIS et al., "DNA Sequencing with Thermus Aquaticus DNA Polymerase and Dires Sequencing of Polymerase Chain Reaction-Amplified DNA," Proc. Natl. Acad. Sci. 85:9436-9440, December 1988. International Application No. PCT/US03/40520 filed December 19, 2003; Written Opin International Application No. PCT/US03/40980 Written Opinion dated Septemb 2005 International Preliminary Examination Report, P131WO01, International Application PCT/US03/40516 filed December 19, 2003 International Preliminary Examination Report, P139WO01, International Application PCT/US03/4086 filed December 15, 2003 International Preliminary Examination Report, P140WO01, International Application PCT/US03/40516 filed December 15, 2003 International Preliminary Examination Report, P140WO01, International Application PCT/US03/40516 filed December 19, 2003 International Preliminary Examination Report, P142WO01, International Application PCT/US03/40516 filed December 19, 2003 International Preliminary Examination Report, P142WO01, International Application PCT/US03/40516 filed December 19, 2003	(1):23-
Automated Sample Handling," Clinical Chemistry, 36(9):1579-1582, 1990. DRICSOLL et al., "Discreed Automated Chemistry, 36(9):1579-1582, 1990. DRICSOLL et al., "Discreed Automated Chemistry System with Tableted Reagents," Clinical Chemistry, 29(9): 1609-1615, 1983. GARZA et al., "Bar Codes in the Clinical Laboratory," Clinical Laboratory Science, 4 24, Jan/Feb 1991. INNIS et al., "DNA Sequencing with Thermus Aquaticus DNA Polymerase and Dires Sequencing of Polymerase Chain Reaction-Amplified DNA," Proc. Natl. Acad. Sci., 85:9436-9440, December 1988. International Application No. PCT/US03/40520 filed December 19, 2003; Written Opinternational Application No. PCT/US03/40974 filed December 19, 2003; Written Opinternational Application Number PCT/US03/40880 Written Opinion dated Septemb 2005 International Preliminary Examination Report, P131WO01, International Application PCT/US03/40880 filed December 19, 2003 International Preliminary Examination Report, P139WO01, International Application PCT/US03/40880 filed December 22, 2003 International Preliminary Examination Report, P140WO01, International Application PCT/DK03/00877 filed December 15, 2003 International Preliminary Examination Report, P142WO01, International Application PCT/US03/40519 filed December 15, 2003	(1):23-
Clinical Chemistry, 29(9): 1609-1615, 1983. GARZA et al., "Bar Codes in the Clinical Laboratory," Clinical Laboratory Science, 4 24, Jan/Feb 1991. INNIS et al., "DNA Sequencing with Thermus Aquaticus DNA Polymerase and Dires Sequencing of Polymerase Chain Reaction-Amplified DNA," Proc. Natl. Acad. Sci. 85:9436-9440, December 1988. International Application No. PCT/US03/40520 filed December 19, 2003; Written Opinternational Application No. PCT/US03/40974 filed December 19, 2003; Written Opinternational Application Number PCT/US03/40880 Written Opinion dated Septemb 2005 International Preliminary Examination Report, P131WO01, International Application PCT/US03/40880 filed December 19, 2003 International Preliminary Examination Report, P139WO01, International Application PCT/US03/40880 filed December 22, 2003 International Preliminary Examination Report, P140WO01, International Application PCT/US03/40880 filed December 15, 2003 International Preliminary Examination Report, P142WO01, International Application PCT/US03/40819 filed December 15, 2003 International Preliminary Examination Report, P142WO01, International Application PCT/US03/40519 filed December 15, 2003	(1):23-
GARZA et al., "Bar Codes in the Clinical Laboratory," Clinical Laboratory Science, 4 24, Jan/Feb 1991. INNIS et al., "DNA Sequencing with Thermus Aquaticus DNA Polymerase and Dires Sequencing of Polymerase Chain Reaction-Amplified DNA," Proc. Natl. Acad. Sci., 85:9436-9440, December 1988. International Application No. PCT/US03/40920 filed December 19, 2003; Written Op International Application No. PCT/US03/40974 filed December 19, 2003; Written Op International Application Number PCT/US03/4080 Written Opinion dated Septemb 2005 International Preliminary Examination Report, P131WO01, International Application PCT/US03/40880 filed December 19, 2003 International Preliminary Examination Report, P139WO01, International Application PCT/US03/40880 filed December 22, 2003 International Preliminary Examination Report, P140WO01, International Application PCT/DK03/00877 filed December 15, 2003 International Preliminary Examination Report, P142WO01, International Application PCT/US03/4051 filed December 19, 2003	` '
24. Jan/Feb 1991. INNIS et al., "DNA Sequencing with Thermus Aquaticus DNA Polymerase and Dires Sequencing of Polymerase Chain Reaction-Amplified DNA," Proc. Natl. Acad. Sci, 85.9436-9440, December 1988. International Application No. PCT/US03/40520 filed December 19, 2003; Written Opinternational Application No. PCT/US03/40974 filed December 19, 2003; Written Opinternational Application Number PCT/US03/40880 Written Opinion dated Septemb 2005 International Preliminary Examination Report, P131WO01, International Application PCT/US03/40880 filed December 19, 2003 International Preliminary Examination Report, P139WO01, International Application PCT/US03/40880 filed December 22, 2003 International Preliminary Examination Report, P140WO01, International Application PCT/DK03/00877 filed December 15, 2003 International Preliminary Examination Report, P142WO01, International Application PCT/US03/40519 filed December 15, 2003 International Preliminary Examination Report, P142WO01, International Application PCT/US03/40519 filed December 19, 2003	` '
Sequencing of Polymerase Chain Reaction-Amplified DNA," Proc. Natl. Acad. Sci, 85:9436-9440, December 1988. International Application No. PCT/US03/40520 filed December 19, 2003; Written Or International Application No. PCT/US03/40540 filed December 19, 2003; Written Or International Application Number PCT/US03/40580 Written Opinion dated Septemb 2005 International Preliminary Examination Report, P131WO01, International Application PCT/US03/40518 filed December 19, 2003 International Preliminary Examination Report, P139WO01, International Application PCT/US03/40580 filed December 22, 2003 International Preliminary Examination Report, P140WO01, International Application PCT/DK03/00877 filed December 15, 2003 International Preliminary Examination Report, P142WO01, International Application PCT/US03/4051 filed December 19, 2003	ct
85.9436-9440, December 1988. International Application No. PCT/US03/40520 filed December 19, 2003; Written Op International Application No. PCT/US03/40974 filed December 19, 2003; Written Op International Application Number PCT/US03/4080 Written Opinion dated Septemb 2005 International Preliminary Examination Report, P131WO01, International Application PCT/US03/40516 filed December 19, 2003 International Preliminary Examination Report, P139WO01, International Application PCT/US03/40880 filed December 22, 2003 International Preliminary Examination Report, P140WO01, International Application PCT/US03/40387 filed December 15, 2003 International Preliminary Examination Report, P142WO01, International Application PCT/US03/40519 filed December 19, 2003 In	
International Application No. PCT/US03/40520 filed December 19, 2003; Written Op- International Application No. PCT/US03/40974 filed December 19, 2003; Written Op- International Application Number PCT/US03/40880 Written Opinion dated Septemb 2005 International Preliminary Examination Report, P131WO01, International Application PCT/US03/40516 filed December 19, 2003 International Preliminary Examination Report, P139WO01, International Application PCT/US03/40580 filed December 22, 2003 International Preliminary Examination Report, P140WO01, International Application PCT/US03/40517 filed December 15, 2003 International Preliminary Examination Report, P142WO01, International Application PCT/US03/40519 filed December 19, 2003	
International Application No. PCT/US03/40974 filed December 19, 2003; Written Oy International Application Number PCT/US03/40880 Written Opinion dated Septemb 2005 International Preliminary Examination Report, P131WO01, International Application PCT/US03/40516 filed December 19, 2003 International Preliminary Examination Report, P139WO01, International Application PCT/US03/40880 filed December 22, 2003 International Preliminary Examination Report, P140WO01, International Application PCT/US03/00877 filed December 15, 2003 International Preliminary Examination Report, P142WO01, International Application PCT/US03/40519 filed December 19, 2003	
International Application Number PCT/US03/40880 Written Opinion dated Septemb 2005 International Preliminary Examination Report, P131WO01, International Application PCT/US03/40518 filed December 19, 2003 International Preliminary Examination Report, P139WO01, International Application PCT/US03/40880 filed December 22, 2003 International Preliminary Examination Report, P140WO01, International Application PCT/US03/00877 filed December 15, 2003 International Preliminary Examination Report, P142WO01, International Application PCT/US03/40519 filed December 19, 2003	oinion
International Application Number PCT/US03/40880 Written Opinion dated Septemb 2005 International Preliminary Examination Report, P131WO01, International Application PCT/US03/40518 filed December 19, 2003 International Preliminary Examination Report, P139WO01, International Application PCT/US03/40880 filed December 22, 2003 International Preliminary Examination Report, P140WO01, International Application PCT/US03/4077 filed December 15, 2003 International Preliminary Examination Report, P142WO01, International Application PCT/US03/40519 filed December 19, 2003	oinion
2005 International Preliminary Examination Report, P131WO01, International Application PCT/US03/40516 filed December 19, 2003 International Preliminary Examination Report, P139WO01, International Application PCT/US03/40880 filed December 22, 2003 International Preliminary Examination Report, P140WO01, International Application PCT/US03/00877 filed December 15, 2003 International Preliminary Examination Report, P142WO01, International Application PCT/US03/40519 filed December 19, 2003	
PCT/US03/40518 filed December 19, 2003 International Preliminary Examination Report, P139WO01, International Application PCT/US03/40880 filed December 22, 2003 International Preliminary Examination Report, P140WO01, International Application PCT/US03/00877 filed December 15, 2003 International Preliminary Examination Report, P142WO01, International Application PCT/US03/40519 filed December 19, 2003	
International Preliminary Examination Report, P139WO01, International Application PCT/US03/40880 filed December 22, 2003 International Preliminary Examination Report, P140WO01, International Application PCT/DK03/00877 filed December 15, 2003 International Preliminary Examination Report, P142WO01, International Application PCT/US03/40519 filed December 19, 2003	No.
PCT/US03/40880 filed December 22, 2003 International Preliminary Examination Report, P140WO01, International Application PC17/DK03/00877 filed December 15, 2003 International Preliminary Examination Report, P142WO01, International Application PCT/US03/40519 filed December 19, 2007	
International Preliminary Examination Report, P140WO01, International Application PCT/DK03/00877 filed December 15, 2003 International Preliminary Examination Report, P142WO01, International Application PCT/US03/40519 filed December 19, 2003	No.
PCT/DK03/00877 filed December 15, 2003 International Preliminary Examination Report, P142WO01, International Application PCT/US03/40519 filed December 19, 2003	No. 1
International Preliminary Examination Report, P142WO01, International Application PCT/US03/40519 filed December 19, 2003	
	No.
International Preliminary Examination Report, P143WO01, International Application	
	No.
PCT/US03/40591 filed December 19, 2003	
International Preliminary Examination Report, P144WO01, International Application PCT/US03/41022 filed December 22, 2003	NO.
International Preliminary Examination Report, P145WO01, International Application	No
PCT/US03/40520 filed December 19, 2003, mailing date March 27, 2006	140.
International Preliminary Examination Report, P145WO01, International Application	No.
PCT/US03/40520 filed December 19, 2003, mailing date May 31, 2005	
International Preliminary Examination Report, P149WO01, International Application PCT/US03/40974 filed December 19, 2003	No.
Lifshitz, M. S, et al., Talking about Technology, Clinical Laboratory Management Re	view
1989 Jan-Feb;3(1):53-4.	,
LINDEMAN et al., "Evaluation of the Automation of the Immunoenzymatic Procedure	es in a
Routine Histo/Cytopathalogical Laboratory," Histopathology, 6:739-746, 1982.	
Pearson, L. S., The use of bar coding technology, Medical Device Technology, Marc	h
1994	
RAPPAPORT, "If Bar Code Works in Supermarkets, It Should Be Great for	
Medicine,"Pathologist, 39(2): 39-40, 185.	
Rocks, B. F. et al., Automatic analysers in clinical biochemistry, B F Rocks et al 198 Phys. Physiol. Meas. 7 1-29	ο Clin.
CAUCIAL STREET Amplification of College Constitution of Description	- 1
/NAB/ Site Analysis for Diagnosis of Sickle Cell Anemial," Science 230:1350-1353, 20 Dec	ion
1985.	

/NA	-	Singer, R. et al., Selection and evaluation of laboratory instrumentation in clinical
/NA	В/	chemistry: II Guidelines for selection and evaluation, Med Lab Sci. 1987 Jan;44(1):6-14.
	I	Stark et al., An automated Devide of Immunocytochemistry, Journal of Immunological Methods, 1988, Elsevier, 107, pp. 89-92
	 	Taylor, C., Creating a bar code chemistry system, Medical Laboratory Observer, 1993
		Feb;25(2):34-6.
		TILZER et al., "Use of Bar Code Labels on Collection Tubes for Specimen Management in
		the Clinical Laboratory," Arch Pathol Lab Med, 112:1201-1202, Dec. 1988.
	1	U.S. Patent Application No. 11/119,417 filed April 30, 2005, "Method and Apparatus for
	_	Pretreatment of Biological Samples
	I	United States District Court, District of Arizona, CV03-92 TUC-RCC, Ventana Medical vs
		Biogenix Laboratories Reporter's Transcript of Proceedings (Markman Hearing) before
		Honorable Raner C. Collins, August 11, 2005. United States District Court, District of Arizona, CV03-92 TUC-RCC, Ventana Medical vs
		Biogenix Laboratories, Judgment in a Civil Case, October 19, 2005
	-	United States District Court, District of Arizona, CV03-92 TUC-RCC, Ventana Medical vs
		Biogenix Laboratories, Order and Opinion on Motion, August 23, 2005
	1-	United States District Court, District of Arizona, CV03-92 TUC-RCC, Ventana Medical vs
	l	Biogenix Laboratories, Order filed October 4, 2005
		United States District Court, District of Arizona, Ventana v. Cytologix, CIV 02117 TUC
		(RCC) Plaintiff's Memorandum in Support of Petition for Temporary Restraining Order and
		Permanent Injunction filed March 2002
		United States District Court, District of Arizona, Ventana v. Cytologix, CIV 02117 TUC
		(WDB) Ventana's Reply in Support of its Motion for Preliminary Relief filed June 2002
		United States District Court, District of Arizona, Ventana v. Cytologix, CIV 02117
		TUC(WDB) Defendant's Surreply in Opposition to Plaintiff's Motion for Preliminary
	<u> </u>	Injunctive Relief filed July 2002
		United States District Court, District of Arizona, Ventana v. Cytologix, CIV02117 TUC
		(RCC) Plaintiff's Motion for Temporary Restraining Order filed March 2002 United States District Court, District of Delaware, Ventana v. Dakocytomation California,
		CIV041522 (GMS) Order Construing the Terms of U.S. Patent No. 6.827.901 dated
		December 13, 2005
		United States District Court, District of Massachusetts, Vision Biosystems (USA) Trading
		v. Ventana Medical Systems, CIV 03CV10391GAO, Declaration of Richard Wydeven in
		Support of Plaintiff's Motion for Summary Judgment of Non-Infringement Based on
		Collateral Estoppel, filed October 7, 2005
		United States District Court, District of Massachusetts, Vision Biosystems (USA) Trading
		v. Ventana Medical Systems, CIV 03CV10391GAO, Defendant Ventana Medical Systems,
		Inc.'s Memorandum in Opposition to Vision's Motion for Summary Judgment of Non-
		Infringement Based on Collateral Estoppel, filed October 21, 2005
		United States District Court, District of Massachusetts, Vision Biosystems (USA) Trading
		v. Ventana Medical Systems, CIV 03CV10391GAO, Defendant Ventana Medical Systems,
		Inc.'s Statement of Material Facts in Dispute, in Opposition to Vision's Motion for Summary
	1	Judgment of Non-Infringement Based on Collateral Estoppel, filed October 21, 2005
		United States District Court, District of Massachusetts, Vision Biosystems (USA) Trading
/N	AB/	v. Ventana Medical Systems, CIV 03CV10391GAO, Defendant's Statement of Material
		Facts in Dispute, in Opposition to Plaintiff's Motion for Summary Judgment o No
		Infringement of the 861 Patent, filed January 15, 2004

	United States District Court, District of Massachusetts, Vision Biosystems (USA) Trading
	v. Ventana Medical Systems, CIV 03CV10391GAO, Memorandum and Order filed
/NAB/	September 30, 2004
	United States District Court, District of Massachusetts, Vision Biosystems (USA) Trading
1	v. Ventana Medical Systems, CIV 03CV10391GAO, Plaintiff's Memorandum in Support of
1	its Motion for Summary Judgment of Nonfringement Based on Collateral Estoppel, filed
	October 7, 2005
-	October 7, 2005
	United States District Court, District of Massachusetts, Vision Biosystems (USA) Trading
	v. Ventana Medical Systems, CIV 03CV10391GAO, Plaintiff's motion for Summary
	Judgment of Non-Infringement Based on Collateral Estoppel filed October 7, 2005
	United States District Court, District of Massachusetts, Vision Biosystems (USA) Trading
	v. Ventana Medical Systems, CIV 03CV10391GAO, Plaintiff's Statement of Undisputed
	Facts in Support of its Motion for Summary Judgment of Non-Infringement Based on
	Collateral Estoppel, filed October 7, 2005
	United States District Court, Eastern District of Massachusetts, Vision Biosystems (USA)
	Trading v. Ventana Medical Systems, CIV 03CV10391GAO, Defendant's Memorandum in
	Opposition to Plaintiff's Motion for Summary Judgment of No Infringement, and in Support
	of Defendant's Cross-Motion for Summary Judgment of Infringement of the 861 Patent.
	filed January 15, 2004
 	United States District Court, Eastern District of Massachusetts, Vision Biosystems (USA)
	Trading v. Ventana Medical Systems, CIV 03CV10391GAO, Defendant's Statement of
	Undisputed Material Facts, in Support of its Cross-Motion for Summary Judgment of
	Infringement of the 861 Patent filed January 2004
	United States nonprovisional Application No. 07/488.601, "Automated Biological Reaction
	Apparatus" filed March 02, 1990
	United States nonprovisional Application No. 07/924,052, "Automated Biological Reaction
	Apparatus" filed August 31, 1992
	United States Provisional Application No. 60/487,998, "An Interface Point Server," filed
	July 17, 2003, 52 pages.
-	US Patent Application Number 10/054,535, Entitled Automated Biological Reaction
/NAB/	Apparatus, now Patent number 6,943,029, the entire wrapper
EXAMINER:	DATE CONSIDERED
EAAMINEK.	/Nathan Bowers/ 01/29/2008
	se initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if no I not considered. Include copy of this form with next communication to the applicant.
in contonnance and	I not considered. Include copy of this form with next communication to the applicant.



Express Mail No.: EV 673965890 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number:

10/539,562

Filed:

June 17, 2005

Applicants:

Kristopher Buchanan, Marc Key, John Favuzzi, Rosanne Welcher,

Benno Guggenheimer, Robert Clark, Michael Barber, and Bob

Title:

Systems and Methods of Sample Processing and Temperature

Control

TC/A.U:

1651

Examiner:

Allison M. Ford Dako Denmark A/S

Assignee: Attorney Docket: Customer No.

P139 US 01

33549

CERTIFICATE OF EXPRESS MAILING

- I, Cheryl A. Swanson, hereby certify to the truth of the following items:
- 1. I am an employee of Santangelo Law Offices, P.C., 125 South Howes, Third Floor, Fort Collins, Colorado 80521.
- 2. I have this day deposited the attached Submission of Information Disclosure Statement pursuant to 37 CFR 1.97 (b)(3); Information Disclosure Statement Pursuant to 37 CFR §1.97(b)(3) and copies of foreign references and other documents cited; Letter of Transmittal; and return post card with the United States Postal Service as Express Mail, postage prepaid, for mailing to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

Dated this 23 day of October, 2006.

Cheryl A. Swanson